



PATENT

R&M Docket No.: 1700-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

**APPLICATION TRANSMITTAL LETTER WHERE BENEFIT
OF A PRIOR U.S. APPLICATION IS CLAIMED**

Transmitted herewith for filing is the patent Application of:

Inventor(s): Jay S. WALKER, Bruce SCHNEIER, James A. JORASCH and
T. Scott CASE

For: CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEM

Type of Application:

This application is a:

<i>check one:</i>	
	Divisional Application
	Continuation Application
✓	Continuation-in-part Application

of pending parent application Serial Number 08/707,660, filed on September 4, 1996.

I hereby verify that the attached papers for this divisional or continuation application are a true copy of the above-identified parent application as filed, including the oath or declaration originally filed and that no amendments referred to in the oath or declaration filed to complete the parent application introduced new matter therein.

Papers Enclosed:

Enclosed are:

✓	47 page Application, including 17 pages of claims and 1 page of Abstract
✓	27 sheets of drawing(s)
✓	Express Mail Certificate
✓	An Assignment of the invention with recordation cover sheet
✓	A check in the amount of \$40.00 for recording the Assignment
✓	Verified Statement Claiming Small Entity Status
	Information Disclosure Statement, PTO-1449 and cited references
✓	Combined Declaration and Power of Attorney
	A Certified Copy of priority document
	Preliminary Amendment
✓	A check in the amount of \$1,712.00 to cover the application filing fee, as determined below.

Fee Calculation:

The filing fee has been calculated as shown below:

			SMALL ENTITY		OTHER THAN A		
(Col. 1)	(Col. 2)		RATE	FEES	OR	RATE	FEES
FOR:	NO. FILED	NO. EXTRA					
BASIC FEE				\$385.00	OR		\$770
TOTAL CLAIMS	97-20 =	77	X 11 =	\$847.00	OR	X 22 =	\$0
INDEP. CLAIMS	15-3 =	12	X 40 =	\$480.00	OR	X 80 =	\$0
MULTIPLE DEPENDENT CLAIM PRESENTED			+130 =	\$0.00	OR	+260 =	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$1,712	OR	TOTAL	\$770.00

Method of Payment:

A check in the amount of \$1,712.00 to cover the application filing fee is enclosed.

Relationship Back Where Benefit of Prior U.S. Application is Claimed:

Please amend the specification by inserting, before the first line, the following sentence:

“This application is a

continuation
 continuation-in-part
 divisional

of copending patent application Serial No. 08/707,660, filed on September 4, 1996.”

The prior U.S. applications designated above, in turn claim foreign priority as follows:

(Number)	(Country)	Day/month/year filed

Oath or Declaration:

A Combined Declaration and Power of Attorney is included since this application is a continuation-in-part application which discloses and claims new matter.

A Combined Declaration and Power of Attorney is not included since this application is a divisional or a continuation application which does not disclose or claim new matter.

Small Entity Status:

A Verified Statement of Small Entity Status is enclosed herewith.

A Verified Statement of Small Entity Status was filed in the parent application and status as a small entity is still proper and desired. A copy is enclosed herewith.

Applicant is a large entity.

Inventorship:

With respect to the inventorship of the copending parent application, from which this application claims benefit under 35 U.S.C. §120, the inventor(s) in this application is (are) less than those named in the copending parent application and the following inventor(s) should be deleted from this application:

Inventor(s): _____

Previously Filed Materials:

- Please transfer the drawings from the prior application to the new application. A copy of the formal drawings are enclosed herewith.
- A true copy of the prior application as filed is enclosed, including a copy of the Oath or Declaration filed in the parent application.
- The prior application is assigned of record to Walker Asset Management Limited Partnership, of Stamford, CT.
- The power of attorney in the prior application is to Morgan & Finnegan, L.L.P. et al.
- Please address all future communications to:

Kevin M. Mason
Ryan & Mason, L.L.P.
40 Cranston Street
Fairfield, CT 06430

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,
RYAN & MASON, L.L.P.

Dated: July 1, 1997

By: Kevin M. Mason
Kevin M. Mason
Reg. No. 36,597

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PATENT

R&M Docket No.: 1700-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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Jay S. WALKER;

)

Examiner: To Be Assigned

Bruce SCHNEIER;

)

James A. JORASCH; and

)

T. Scott CASE

)

Serial No.: To Be Assigned

)

Group Art Unit: To Be Assigned

Filed: Concurrently Herewith

)

For: CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEM

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: EI140492513US

Date of Deposit: July 8, 1997

I hereby certify that the attached paper or fee:

Application Transmittal Letter Where Benefit of a Prior U.S. Application is
Claimed;

47 page Application, including 17 pages of claims and 1 page of Abstract;
27 sheets of drawings;

Combined Declaration and Power of Attorney;

Verified Statement Claiming Small Entity Status;

Assignment with recordation cover sheet;

Checks in the amount of \$1,712.00 and \$40.00 to cover the application filing fee
and Assignment recordation fee; and

Return Postal Card.

are being deposited with the United States Postal Service "Express Mail Post Office To
Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to BOX
PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Kevin M. Mason

(Typed or printed name of person mailing paper or fee)

Kevin M. Mason

(Signature of person mailing paper or fee)